# Introduction to Agda

# Lecture at the AFP summer school in Utrecht

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## Lecture plan

- A brief overview of formal verification, dependent types, and Agda
- Differences between Agda and Haskell
- Types as first-class values
- Dependent data types
- Dependent function types
- The Curry-Howard correspondence
- Equational reasoning in Agda



"Program testing can be used to show the presence of bugs, but never to show their absence!"

– Edsger W. Dijkstra

# When testing is just not enough

**Question.** In what situations might testing not be enough to ensure software works correctly?

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- ... failure is very costly (e.g. spacecraft, medical equipment, self-driving cars)
- ... the software is difficult to update
  - (e.g. embedded software)
- ... it is security-sensitive (e.g. banking, your private chats)
- ... errors are hard to detect or not apparent until much later (e.g. compilers, concurrent systems)

Formal verification is a collection of techniques for proving correctness of programs with respect to a certain formal specification.

These techniques often rely on ideas from formal logic and mathematics to ensure a very high degree of trustworthiness.

# Why dependent types?

Dependent types are a form of formal verification that is embedded in the programming language.

#### Advantages.

- No different syntax to learn or tools to install
- Tight integration between IDE and type system
- Express invariants of programs in their types
- Use same syntax for programming and proving

Formally verifying a program should not be more difficult than writing the program in the first place!

## The Agda language

Agda is a purely functional programming language similar to Haskell.

Unlike Haskell, it has full support for dependent types.

It also supports interactive programming with help from the type checker.

#### VS Code plugin.

Install the agda-mode plugin and enable the Agda Language Server in the settings.

# Binary release. (Linux/WSL)

sudo apt install agda

#### From source. (Cabal/Stack)

cabal install Agda **or** 

stack install Agda

# Installing an editor for Agda

The following editors have support for Agda:

- VS Code: Install the agda-mode plugin
- Emacs: Plugin is distributed with Agda (run agda-mode setup)
- Atom: https: //atom.io/packages/agda-mode
- Vim: https://github.com/ derekelkins/agda-vim

A first Agda program

data Greeting : Set where hello : Greeting

greet : Greeting greet = hello

This program:

- Defines a datatype Greeting with one constructor hello.
- Defines a function greet of type Greeting that returns hello.

- You can load an Agda file by pressing Ctrl+c followed by Ctrl+1.
- Once the file is loaded (and there are no errors), other commands become available:
- Ctrl+c Ctrl+d Infer type of an expression. Ctrl+c Ctrl+n Evaluate an expression.

# Agda vs. Haskell

**Typing** uses a single colon: b : Bool instead of b :: Bool. **Naming** has fewer restrictions: any name can start with small or capital letter, and symbols can occur in names. Whitespace is required more often: 1+1 is a valid function name, so you need to write 1 + 1 instead. **Infix operators** are indicated by underscores: + instead of (+)

Agda allows unicode characters in its syntax:

- $\bullet \ \rightarrow$  can be used instead of ->
- $\lambda$  can be used instead of  $\setminus$
- Other symbols can also be used as (parts of) names of functions, variables, or types:
   ×, Σ, ⊤, ⊥, ≡, ⟨, ⟩, ∘, …

### Editors with Agda support will replace LaTeX-like syntax (e.g. \to) with unicode:

- $\rightarrow$  \to
- $\lambda$  \lambda
- × \times
- $\Sigma \quad \ \ \, \text{Sigma}$
- ⊤ \top
- $\perp$  \bot
- ≡ \equiv

# **Question.** Which is NOT a valid name for an Agda function?

- **1.** 1+1=2
- 2. foo bar
- 3.  $\lambda \rightarrow \times \Sigma$
- 4. if\_then\_else\_

To declare a datatype in Agda, we need to give the full type of each constructor:

data Bool : Set where true : Bool false : Bool

We also need to specify that **Bool** itself has type **Set** (see later).

# Defining functions by pattern matching

# Just as in Haskell, we can define new functions by pattern matching:

 $not : Bool \rightarrow Bool$ not true = false not false = true

## The type of natural numbers

```
data Nat : Set where
zero : Nat
suc : Nat → Nat
{-# BUILTIN NATURAL Nat #-}
```

- one =1 = suc zero
- **two = 2** = suc one
- three = 3 = suc two
- four = 4 = suc three

isEven : Nat  $\rightarrow$  Bool isEven zero = true isEven (suc zero) = false isEven (suc (suc x)) = isEven x

 $_+$ : Nat → Nat → Nat zero + y = y (suc x) + y = suc (x + y) A hole is a part of a program that is not yet complete. A hole can be created by writing ? or { ! ! } and loading the file (Ctrl+c Ctrl+1).

New commands for files with holes:

Ctrl+c Ctrl+,Give information about the holeCtrl+c Ctrl+cCase split on a variableCtrl+c Ctrl+spaceGive a solution for the hole

**Exercise.** Use these to define the function maximum : Nat  $\rightarrow$  Nat  $\rightarrow$  Nat.

In contrast to Haskell, Agda is a total language:

- NO runtime errors
- NO incomplete pattern matches
- NO non-terminating functions

So functions are true functions in the mathematical sense: evaluating a function call always returns a result in finite time.

Some reasons to write total programs:

- Better guarantees of correctness
- Spend less time debugging infinite loops
- Easier to refactor without introducing bugs
- Less need to document valid inputs

Totality is also crucial for working with dependent types and using Agda as a proof assistant (see later).

Agda performs a coverage check to ensure all definitions by pattern matching are complete:

pred : Nat  $\rightarrow$  Nat pred (suc x) = x

Incomplete pattern matching for pred. Missing cases: pred zero Agda performs a termination check to ensure all recursive definitions are terminating:

inf : Nat  $\rightarrow$  Nat inf x = 1 + inf x

Termination checking failed for the following functions: inf Problematic calls: inf x

# **Question.** Isn't it impossible to determine whether a function is terminating? Or does Agda solve the halting problem?

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**Answer.** No, Agda only accepts functions that are 'obviously terminating', and rejects all other functions.

Agda only accepts functions that are structurally recursive: the argument of each recursive call must be a subterm of the argument on the left of the clause.

For example, this definition is rejected:

```
f: Nat \rightarrow Nat
f (suc (suc x)) = f zero
f (suc x) = f (suc (suc x))
f zero = zero
```

# **Types as first-class values**

In Agda, types such as Nat and (Bool  $\rightarrow$  Bool) are themselves expressions of type Set.

We can pass around and return values of type Set just like values of any other type.

**Example.** Defining a type alias as a function:

```
MyNat : Set
```

```
MyNat = Nat
```

```
myFour : MyNat
myFour = 4
```

# We can define polymorphic functions as functions that take an argument of type Set:

$$\mathsf{id}: (\mathsf{A}:\mathsf{Set}) \to \mathsf{A} \to \mathsf{A}$$
$$\mathsf{id} \mathsf{A} \mathsf{x} = \mathsf{x}$$

For example, we have id Nat zero : Nat and id Bool true : Bool.

To avoid repeating the type at which we apply a polymorphic function, we can declare it as a hidden argument using curly braces:

$$\mathsf{id}: \{\mathsf{A}:\mathsf{Set}\} \to \mathsf{A} \to \mathsf{A}$$
$$\mathsf{id} x = x$$

Now we have id zero : Nat and id true : Bool.

We can define if/then/else in Agda as follows:

if\_then\_else\_ :  $\{A : Set\} \rightarrow$ Bool  $\rightarrow A \rightarrow A \rightarrow A$ if true then x else y = x if false then x else y = y

This is an example of a mixfix operator.

### Example usage.

test : Nat  $\rightarrow$  Nat test x = if (x  $\leq$  9000) then 0 else 42 Just like we can define polymorphic functions, we can also define polymorphic datatypes by adding a parameter (A : Set):

```
data List (A : Set) : Set where

[] : List A

_::_ : A \rightarrow List A \rightarrow List A

infixr 5 _::_
```

**Note.** Agda does not have built-in support for list syntax [1, 2, 3]. Instead, we have to write 1 :: 2 :: 3 :: [].

Agda does not have a builtin type of tuples (x, y), but we can define the product type  $A \times B$ :

data \_×\_ (A B : Set) : Set where \_,\_ : A  $\rightarrow$  B  $\rightarrow$  A  $\times$  B fst : {A B : Set}  $\rightarrow$  A  $\times$  B  $\rightarrow$  A fst (x , y) = x

 $\operatorname{snd}: {A B : \operatorname{Set}} \to A \times B \to B$  $\operatorname{snd}(x, y) = y$
It is not allowed to pattern match on arguments of type Set:

- Not valid code:
sneakyType: Set → Set
sneakyType Bool = Nat
sneakyType Nat = Bool

One reason for this is that Agda (like Haskell) erases all types during compilation.

# Is it possible to implement a function of type $\{A : Set\} \rightarrow List A \rightarrow Nat \rightarrow A$ in Agda?

## **Dependent types**

## Cooking with dependent types (1/3)

Suppose we are implementing a cooking assistant that can help with preparing three kinds of food:

```
data Food : Set where
pizza : Food
cake : Food
bread : Food
```

We want to implement a function amountOfCheese : Food  $\rightarrow$  Nat that computes how much cheese is needed.

**Problem:** How can we make sure this function is never called with argument cake?

## Cooking with dependent types (2/3)

**Solution.** We can make the type Food more precise making it into an indexed datatype:

data Flavour : Set where cheesy : Flavour chocolatey : Flavour

```
data Food : Flavour \rightarrow Set where
pizza : Food cheesy
cake : Food chocolatey
bread : {f: Flavour} \rightarrow Food f
```

This defines two types Food cheesy and Food chocolatey.

We can now rule out invalid inputs by using the more precise type Food cheesy:

amountOfCheese : Food cheesy  $\rightarrow$  Nat amountOfCheese pizza = 100 amountOfCheese bread = 20

The coverage checker of Agda knows that cake is not a valid input!

## Dependent type theory (1972)



A dependent type is a family of types, depending on a term of a base type.

#### Per Martin-Löf

## **Dependent type theory (1972)**



Per Martin-Löf

A dependent type is a family of types, depending on a term of a base type.

**Example** (not by Martin-Löf). Food is a dependent type indexed over the base type Flavour. Vec A *n* is the type of vectors with exactly *n* arguments of type A:

```
myVec1 : Vec Nat 4
myVec1 = 1 :: 2 :: 3 :: 4 :: []
```

```
myVec2 : Vec Nat o
myVec2 = []
```

```
myVec3 : Vec (Bool \rightarrow Bool) 2
myVec3 = not :: id :: []
```

Vec A n is a dependent type indexed over the base type Nat:

data Vec (A : Set) : Nat  $\rightarrow$  Set where [] : Vec A o \_::\_ : {n : Nat}  $\rightarrow$ A  $\rightarrow$  Vec A n  $\rightarrow$  Vec A (suc n)

This has two constructors [] and \_::\_ like List, but the constructors specify the length in their types. The argument (A : Set) in the definition of Vec is a parameter, and has to be the same in the type of each constructor.

The argument of type Nat in the definition of Vec is an index, and must be determined individually for each constructor.

# **Question.** How many elements are there in the type Vec Bool 3?

**Question.** How many elements are there in the type Vec Bool 3?

Answer. 8 elements:

- true :: true :: true :: []
- true :: true :: false :: []
- true :: false :: true :: []
- true :: false :: false :: []
- false :: true :: true :: []
- false :: true :: false :: []
- false :: false :: true :: []
- false :: false :: false :: []

During type-checking, Agda will evaluate expressions in types:

myVec4 : Vec Nat (2 + 2) myVec4 = 1 :: 2 :: 3 :: 4 :: []

Since Agda is a total language, any expression can appear inside a type.

(A non-total language with dependent types would only allow a few 'safe' expressions.)

### Checking the length of a vector

Constructing a vector of the wrong length in any way is a type error:

myVec5 : Vec Nat o myVec5 = 1 :: 2 :: []

suc \_n\_46 != zero of type Nat
when checking that the inferred
type of an application
Vec Nat (suc \_n\_46)
matches the expected type
Vec Nat 0

## **Dependent functions**

A dependent function type is a type of the form  $(x : A) \rightarrow B x$  where the *type* of the output depends on the *value* of the input.

#### Example.

zeroes :  $(n : Nat) \rightarrow Vec Nat n$ zeroes zero = [] zeroes (suc n) = 0 :: zeroes n

E.g. zeroes 3 has type Vec Nat 3 and evaluates to 0 :: 0 :: 0 :: [].

We can pattern match on Vec just like on List:

 $\begin{array}{l} \mathsf{mapVec}: \{A \; B: \mathsf{Set}\} \{n: \mathsf{Nat}\} \rightarrow \\ (A \rightarrow B) \rightarrow \mathsf{Vec} \; A \; n \rightarrow \mathsf{Vec} \; B \; n \\ \mathsf{mapVec} \; f[] &= [] \\ \mathsf{mapVec} \; f(x:: xs) = f \; x:: \mathsf{mapVec} \; f \; xs \end{array}$ 

**Note.** The type of mapVec specifies that the output has the same length as the input.

By making the input type of a function more precise, we can rule out certain cases statically (= during type checking):

head :  ${A : Set}{n : Nat} \rightarrow Vec A (suc n) \rightarrow A$ head (x :: xs) = x

Agda knows the case for head [] is impossible! (just like for amountOfCheese cake)

## **Question.** What should be the type of tail on vectors with the following definition?

tail (x :: xs) = xs

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#### Answer.

tail : {A : Set} {n : Nat}  $\rightarrow$  Vec A (suc n)  $\rightarrow$  Vec A n tail (x :: xs) = xs



## Define a function **zipVec** that only accepts vectors of the same length.

By combining head and tail, we can get the 1st, 2nd, 3rd,...element of a vector with at least that many elements.

How can we define a function lookupVec that get the element at position i of a Vec A n where i < n?

**Note.** We want to get an element of *A*, *not* of Maybe *A*!

We need a type of indices that are *safe* for a vector of length n, i.e. numbers between 0 and n - 1.

- This is the type Fin *n* of finite numbers:
  - zero3 one3 two3 : Fin 3
  - zero3 = zero
  - one3 = suc zero
  - two3 = suc (suc zero)

#### data Fin : Nat $\rightarrow$ Set where zero : {n : Nat} $\rightarrow$ Fin (suc n) suc : {n : Nat} $\rightarrow$ Fin $n \rightarrow$ Fin (suc n)

- Fin *n* has *n* elements, so in particular Fin o has *zero* elements: it is an empty type.
- This means there are *no valid indices* for a vector of length o.

**Note.** Unlike in Haskell, we cannot even construct an expression of Fin o using undefined or an infinite loop.

### The family of Fin types



## A safe lookup (1/5)

lookupVec : {A : Set} {n : Nat}  $\rightarrow$ Vec A  $n \rightarrow$  Fin  $n \rightarrow$  A lookupVec xs  $i = \{! \ !\}$  lookupVec : {A : Set} {n : Nat}  $\rightarrow$ Vec A  $n \rightarrow$  Fin  $n \rightarrow$  A lookupVec (x :: xs)  $i = \{! \ !\}$ 

## A safe lookup (3/5)

lookupVec : {A : Set} {n : Nat}  $\rightarrow$ Vec A  $n \rightarrow$  Fin  $n \rightarrow$  A lookupVec (x :: xs) zero = {! !} lookupVec (x :: xs) (suc i) = {! !}

## A safe lookup (4/5)

lookupVec : {A : Set} {n : Nat}  $\rightarrow$ Vec A  $n \rightarrow$  Fin  $n \rightarrow A$ lookupVec (x :: xs) zero = x lookupVec (x :: xs) (suc i) = {! !} lookupVec : {A : Set} {n : Nat}  $\rightarrow$ Vec A  $n \rightarrow$  Fin  $n \rightarrow A$ lookupVec (x :: xs) zero = xlookupVec (x :: xs) (suc i) = lookupVec xs i

We now have a safe and total version of the Haskell (!!) function, without having to change the return type in any way.

## Exercise (1/2)

Define a datatype Expr of expressions of a small programming language with:

- Number literals 0, 1, 2, . . .
- Arithmetic expressions  $e_1 + e_2$  and  $e_1 * e_2$
- Booleans true and false
- Comparisons  $e_1 < e_2$  and  $e_1 == e_2$
- Conditionals if  $e_1$  then  $e_2$  else  $e_3$

Expr should be a *dependent type* indexed over the type Ty of possible types of this language:

data Ty : Set where tInt : Ty tBool : Ty

- Next, write a function  $El: Ty \rightarrow Set$  that interprets a type of this language as an Agda type.
- Finally, define eval :  $\{t : Ty\} \rightarrow Expr t \rightarrow El t$ that evaluates a given expression to an Agda value.

A dependent type is a type that depends on a value of some base type.

With dependent types, we can specify the allowed inputs of a function more precisely, ruling out invalid inputs at compile time.

#### Examples of dependent types.

- Food *f*, indexed over *f* : Flavour
- Vec A n, indexed over n : Nat
- Fin n, indexed over n : Nat
- Expr t, indexed over t : Ty

## The Curry-Howard Correspondence


"Every good idea will be discovered twice: once by a logician and once by a computer scientist."

– Philip Wadler

Agda is not just a programming language but also a proof assistant for verifying properties:

- For any x : Nat, x + x is an even number.
- length (map f xs) = length xs
- foldr ( $\lambda x xs \rightarrow xs + x$ ) [] xs= foldl ( $\lambda xs x \rightarrow x :: xs$ ) [] xs

To do this, we first need to answer the question: what exactly is a proof?

In mathematics, a proof is a sequence of statements where each statement is a direct consequence of previous statements.

**Example.** A proof that if (1)  $A \Rightarrow B$  and (2)  $A \land C$ , then  $B \land C$ :

(3) A(follows from 2)(4) B(modus ponens with 1 and 3)(5) C(follows from 2)(6)  $B \wedge C$ (follows from 4 and 5)

We can make the dependencies of a proof more explicit by writing it down as a proof tree.

**Example.** Here is the same proof that if (1)  $A \Rightarrow B$  and (2)  $A \land C$ , then  $B \land C$ :

$$\frac{A \Rightarrow B^{(1)}}{\frac{B}{B \land C}} = \frac{A \land C^{(2)}}{\frac{A \land C^{(2)}}{C}}$$

### What even is a proof? (3/3)

To represent these proofs in a programming language, we can annotate each node of the tree with a proof term:

 $\frac{p:A \Rightarrow B}{p \text{ (fst q): } B} \qquad \frac{q:A \land C}{\text{fst q : } A}}{p \text{ (fst q): } B} \qquad \frac{q:A \land C}{\text{snd q : } C}}{(p \text{ (fst q), snd q): } B \land C}$ 

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Hmm, these proof terms start to look a lot like functional programs...

### **The Curry-Howard correspondence**



Haskell B. Curry

We can interpret logical propositions (A  $\land$  B,  $\neg$ A, A  $\Rightarrow$  B, ...) as the types of all their possible proofs.

In particular: A false proposition has no proofs, so it corresponds to an empty type. What do we know about the proposition  $A \land B$  (A and B)?

- To prove A \lapha B, we need to provide a proof of A and a proof of B.
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 $\Rightarrow$  The type of proofs of A  $\land$  B is the type of pairs A  $\times$  B

What do we know about the proposition  $A \Rightarrow B$  (A implies B)?

- To prove A ⇒ B, we can assume we have a proof of A and have to provide a proof of B
- From a proof of A ⇒ B and a proof of A, we can get a proof of B

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 $\Rightarrow$  The type of proofs of  $A \Rightarrow B$  is the function type  $A \rightarrow B$ 

### Proof by implication (Modus ponens)

Modus ponens says that if *P* implies *Q* and *P* is true, then *Q* is true.

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- **Question.** How can we prove this in Agda?

#### Answer.

 $\begin{array}{l} \mathsf{modusPonens}: \{ P \ Q: \mathsf{Set} \} \to (P \to Q) \times P \to Q \\ \mathsf{modusPonens} \ (f \ , x) = f \ x \end{array}$ 

What do we know about the proposition  $A \lor B$  (A or B)?

- To prove A \vee B we need to provide a proof of A or a proof of B.
- If we have:
  - a proof of  $A \lor B$
  - a proof of C assuming a proof of A
  - a proof of C assuming a proof of B

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then we have a proof of *C*.

 $\Rightarrow$  The type of proofs of  $A \lor B$  is the sum type Either A B

Proof by cases says that if  $P \lor Q$  is true and we can prove *R* from *P* and also prove *R* from *Q*, then we can prove *R*.

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Question. How can we prove this in Agda?

Answer.

 $\begin{array}{l} \mathsf{cases}: \{P\ Q\ R: \mathsf{Set}\} \\ \to \mathsf{Either}\ P\ Q \to (P \to R) \times (Q \to R) \to R \\ \mathsf{cases}\ (\mathsf{left}\ x) \quad (f, g) = f \, x \\ \mathsf{cases}\ (\mathsf{right}\ y)\ (f, g) = g \, y \end{array}$ 

**Question.** Which Agda type represents the proposition *"If (P implies Q) then (P or R) implies (Q or R)"*?

- 1. (Either P Q)  $\rightarrow$  Either (P  $\rightarrow$  R) (Q  $\rightarrow$  R)
- 2. ( $P \rightarrow Q$ )  $\rightarrow$  Either  $P R \rightarrow$  Either Q R
- 3.  $(P \rightarrow Q) \rightarrow \text{Either} (P \times R) (Q \times R)$
- 4.  $(P \times Q) \rightarrow \text{Either } P R \rightarrow \text{Either } Q R$

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What do we know about the proposition 'true'?

- To prove 'true', we don't need to provide anything
- From 'true', we can deduce nothing
- $\Rightarrow$  The type of proofs of truth is the *unit type*  $\top$  with one constructor tt:

```
data ⊤ : Set where
tt : ⊤
```

What do we know about the proposition 'false'?

- There is no way to prove 'false'
- From a proof t of 'false', we get a proof absurd t of any proposition A

What do we know about the proposition 'false'?

- There is no way to prove 'false'
- From a proof t of 'false', we get a proof absurd t of any proposition A
- $\Rightarrow$  The type of proofs of falsity is the empty type  $\perp$  with no constructors:

data  $\bot$  : Set where

The principle of explosion<sup>1</sup> says that if we assume a false statement, we can prove any proposition *P*.

Question. How can we prove this in Agda?

<sup>1</sup>Also known as ex falso quodlibet = from falsity follows anything.

The principle of explosion<sup>1</sup> says that if we assume a false statement, we can prove any proposition *P*.

**Question.** How can we prove this in Agda? **Answer.** 

```
absurd : \{P : Set\} \rightarrow \bot \rightarrow P absurd ()
```

<sup>&</sup>lt;sup>1</sup>Also known as ex falso quodlibet = from falsity follows anything.

### **Curry-Howard for propositional logic**

We can translate from the language of logic to the language of types according to this table:

<b>Propositional logic</b>		Type system
proposition	Р	type
proof of a proposition	р:Р	program of a type
conjunction	$P \times Q$	pair type
disjunction	Either P Q	either type
implication	P  ightarrow Q	function type
truth	Т	unit type
falsity	$\perp$	empty type

# **Negation.** We can encode $\neg P$ ("not *P*") as the type $P \rightarrow \bot$ .

## **Equivalence.** We can encode $P \Leftrightarrow Q$ ("*P* is equivalent to *Q*") as $(P \rightarrow Q) \times (Q \rightarrow P)$ .

#### Exercise

Translate the following statements to types in Agda, and prove them by constructing a program of that type:

- 1. If *P* implies *Q* and *Q* implies *R*, then *P* implies *R*
- 2. If P is false and Q is false, then (either P or Q) is false.
- 3. If *P* is both true and false, then any proposition *Q* is true.

In classical logic we can prove certain 'non-constructive' statements:

•  $P \lor (\neg P)$  (excluded middle) •  $\neg \neg P \Rightarrow P$  (double negation elimination)

However, Agda uses a constructive logic: a proof of  $A \lor B$  gives us a decision procedure to tell whether A or B holds.

When *P* is unknown, it's impossible to decide whether *P* or  $\neg P$  holds, so the excluded middle is unprovable in Agda.

- Consider the proposition P ("P is true") vs.  $\neg \neg P$  ("It would be absurd if P were false").
- Classical logic can't tell the difference between the two, but constructive logic can.
- **Theorem** (Gödel and Gentzen). *P* is provable in classical logic if and only if  $\neg \neg P$  is provable in constructive logic.

• Classical logic corresponds to continuations (e.g. Lisp)

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- Linear logic corresponds to linear types (e.g. Rust)
- Predicate logic corresponds to dependent types (e.g. Agda)

### **Defining predicates**

## **Question.** How would you define a type that expresses that a given number *n* is even?

**Question.** How would you define a type that expresses that a given number *n* is even?

data IsEven : Nat  $\rightarrow$  Set where e-zero : IsEven zero e-suc2 : {n : Nat}  $\rightarrow$ IsEven  $n \rightarrow$  IsEven (suc (suc n)) 6-is-even : IsEven 6

6-is-even = e-suc2 (e-suc2 (e-suc2 e-zero))

7-is-not-even : IsEven 7  $\rightarrow \perp$ 7-is-not-even (e-suc2 (e-suc2 (e-suc2 ()))) To define a predicate *P* on elements of type *A*, we can define *P* as a dependent datatype with base type *A*:

data P : A  $\rightarrow$  Set where  $c_1 : \cdots \rightarrow P a_1$   $c_2 : \cdots \rightarrow P a_2$  $- \cdots$
What do we know about the proposition  $\forall (x \in A)$ . P(x) ('for all x in A, P(x) holds')?

- To prove ∀(x ∈ A). P(x), we assume we have an unknown x ∈ A and prove that P(x) holds.
- If we have a proof of  $\forall (x \in A)$ . P(x) and a concrete  $a \in A$ , then we know P(a).

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⇒  $\forall$ ( $x \in A$ ). P(x) corresponds to the dependent function type (x : A) → P x.

double : Nat  $\rightarrow$  Nat double zero = zero double (suc *m*) = suc (suc (double *m*))

double-even :  $(n : Nat) \rightarrow IsEven$  (double n) double-even  $n = \{ !! \}$ 

double : Nat  $\rightarrow$  Nat double zero = zero double (suc *m*) = suc (suc (double *m*))

double-even :  $(n : Nat) \rightarrow IsEven$  (double n) double-even zero = {!!} double-even (suc m) = {!!}

double : Nat  $\rightarrow$  Nat double zero = zero double (suc m) = suc (suc (double m))

double-even :  $(n : Nat) \rightarrow IsEven$  (double n) double-even zero = e-zero double-even (suc m) = {!!}

double : Nat  $\rightarrow$  Nat double zero = zero double (suc *m*) = suc (suc (double *m*))

double-even :  $(n : Nat) \rightarrow IsEven$  (double n) double-even zero = e-zero double-even (suc m) = e-suc2 {!!}

double : Nat  $\rightarrow$  Nat double zero = zero double (suc m) = suc (suc (double m))

double-even :  $(n : Nat) \rightarrow IsEven$  (double n) double-even zero = e-zero double-even (suc m) = e-suc2 (double-even m) In general, a proof by induction on natural numbers in Agda looks like this:

```
proof : (n : Nat) \rightarrow P n
proof zero = · · ·
proof (suc n) = · · ·
```

- proof zero is the base case
- proof (suc *n*) is the inductive case

When proving the inductive case, we can make use of the induction hypothesis proof *n* : *P n*.

**General rule of thumb:** A proof about a function often follows the same structure as that function:

- To prove something about a function by pattern matching, the proof will also use pattern matching (= proof by cases)
- To prove something about a recursive function, the proof will also be recursive (= proof by induction)

To ensure the proofs we write are correct, we rely on the totality of Agda:

- The coverage checker ensures that a proof by cases covers all cases.
- The termination checker ensures that inductive proofs are well-founded.

# The identity type and equational reasoning



"Beware of bugs in the above code; I have only proved it correct, not tried it."

- Donald Knuth

The identity type  $x \equiv y$  says x and y are equal:

data 
$$\_\equiv_ {A : Set} : A \rightarrow A \rightarrow Set$$
 where  
refl :  ${x : A} \rightarrow x \equiv x$ 

The constructor refl proves that two terms are equal if they have the same normal form:

one-plus-one :  $1 + 1 \equiv 2$ one-plus-one = refl Application of the identity type: Writing test cases

One use case of the identity type is for writing test cases:

test<sub>1</sub> : length (42 :: [])  $\equiv$  1 test<sub>1</sub> = refl

test<sub>2</sub> : length (map (1 +\_) (0 :: 1 :: 2 :: []))  $\equiv$  3 test<sub>2</sub> = refl

The test cases are run each time the file is loaded!

We can use the identity type to prove the correctness of functional programs.

**Example.** Prove that not (not b)  $\equiv b$  for all b : Bool:

not-not :  $(b : Bool) \rightarrow not (not b) \equiv b$ not-not true = refl not-not false = refl Write down the Agda type expressing the statement that for any function f and list xs, length (map f xs) is equal to length xs. Then, prove it by implementing a function of

that type.

## **Question.** What is the type of the Agda expression $\lambda b \rightarrow (b \equiv \text{true})$ ?

- 1. Bool  $\rightarrow$  Bool
- 2. Bool  $\rightarrow$  Set
- 3. (b : Bool)  $\rightarrow$  b  $\equiv$  true
- 4. It is not a well-typed expression

If we have a proof of  $x \equiv y$  as input, we can pattern match on the constructor refl to show Agda that x and y are equal:

castVec : {A : Set} {m n : Nat}  $\rightarrow$   $m \equiv n \rightarrow Vec A m \rightarrow Vec A n$ castVec refl xs = xs

When you pattern match on refl, Agda applies unification to the two sides of the equality.

## Symmetry states that if x is equal to y, then y is equal to x:

#### sym : {A : Set} {x y : A} $\rightarrow x \equiv y \rightarrow y \equiv x$ sym refl = refl

#### Congruence states that if $f : A \rightarrow B$ is a function and x is equal to y, then f x is equal to f y:

$$\operatorname{cong} : {A B : \operatorname{Set}} {x y : A} \rightarrow$$
  
 $(f : A \rightarrow B) \rightarrow x \equiv y \rightarrow f x \equiv f y$   
 $\operatorname{cong} f \operatorname{refl} = \operatorname{refl}$ 

In school, we learned how to prove equations by chaining basic equalities:

$$(a + b) (a + b)$$

$$= a (a + b) + b (a + b)$$

- $= a^2 + ab + ba + b^2$
- $= a^2 + ab + ab + b^2$
- $= a^2 + 2ab + b^2$

## This style of proving is called equational reasoning.

### Equational reasoning about functional programs

Equational reasoning is well suited for proving things about pure functions:

- head (replicate 100 "spam")
- = head ("spam" : replicate 99 "spam")

= "spam"

Because there are no side effects, everything is explicit in the program itself.

Consider the following definitions:

$$[\_]: \{A : Set\} \rightarrow A \rightarrow List A$$
$$[x] = x :: []$$

reverse : {A : Set}  $\rightarrow$  List A  $\rightarrow$  List A reverse [] = [] reverse (x :: xs) = reverse xs ++ [x]

**Goal.** Prove that reverse [ *x* ] = [ *x* ].

```
reverse [ x ]
```

- = { definition of [\_] }
  reverse (x :: [])
- = { applying reverse (second clause) }
  reverse [] ++ [ x ]
- = { applying reverse (first clause) }
  [] ++ [ x ]
- = { applying \_++\_ }
  - [ X ]

### **Example in Agda**

```
reverse-singleton : {A : Set} (x : A) \rightarrow reverse [x] \equiv [x]
reverse-singleton x =
  begin
    reverse [x]
  =\langle\rangle - definition of [_]
    reverse (x :: [])
  =\langle\rangle - applying reverse (second clause)
    reverse [] ++ [ x ]
  =\langle\rangle - applying reverse (first clause)
    [] ++ [x]
  =\langle\rangle - applying _++_
   \begin{bmatrix} x \end{bmatrix}
  end
```

We can write down an equality proof in equational reasoning style in Agda:

- The proof starts with begin and ends with end.
- In between is a sequence of expressions separated by =(>, where each expression is equal to the previous one.

Unlike the proof on paper, here the typechecker of Agda guarantees that each step of the proof is correct!

Each proof by equational reasoning can be desugared to refl (and trans).

#### Example.

```
reverse-singleton : {A : Set} (x : A) \rightarrow
reverse [x] \equiv [x]
reverse-singleton x = refl
```

However, proofs by equational reasoning are much easier to read and debug.

#### Equational reasoning + case analysis

We can use equational reasoning in a proof by case analysis (i.e. pattern matching):

```
not-not: (b: Bool) \rightarrow not (not b) \equiv b
not-not false =
 begin
   not (not false)
 =\langle\rangle
                    - applying the inner not
   not true
 =()
                    - applying not
   false
 end
not-not true = {!!} - similar to above
```

### **Equational reasoning + induction**

```
We can use equational reasoning in a proof by induction:
  add-n-zero : (n : Nat) \rightarrow n + zero \equiv n
  add-n-zero zero = {!!} – easy exercise
  add-n-zero (suc n) =
    begin
      (suc n) + zero
    =\langle\rangle
                                  - applying +
      suc(n + zero)
    = ( cong suc (add-n-zero n) ) - using IH
      suc n
    end
```

Here we have to provide an explicit proof that suc (n + zero) = suc n (between the = $\langle \text{ and } \rangle$ ).

State and prove associativity of addition on natural numbers: x + (y + z) = (x + y) + z

**Hint.** If you get stuck, try to work instead backwards from the goal you want to reach!

# Application 1: Proving type class laws

Remember the two functor laws from Haskell:

- fmap id = id
- fmap  $(f \cdot g) = fmap f \cdot fmap g$

In Haskell we could only verify these laws by hand for each instance, but in Agda we can prove that they hold.

```
\begin{array}{l} \mathsf{map-id}: \{A: \mathsf{Set}\} \, (xs: \mathsf{List} \, A) \to \mathsf{map} \ \mathsf{id} \ xs \equiv xs \\ \mathsf{map-id} \ [] = \\ \mathsf{begin} \\ \mathsf{map} \ \mathsf{id} \ [] \\ \mathsf{=} \langle \rangle - \mathsf{applying} \ \mathsf{map} \\ \ [] \\ \mathsf{end} \end{array}
```

### First functor law for List (inductive case)

```
map-id (x :: xs) =
  begin
    map id (x :: xs)
  =\langle\rangle
                                  - applying map
    id x :: map id xs
  =\langle\rangle
                                  - applying id
    x :: map id xs
  = ( cong (x ::_) (map-id xs) > - using IH
    X :: XS
  end
```



Prove the second functor law for List.

First, we need to define function composition:<sup>2</sup>

$$\begin{array}{l} \_\circ\_: \{A \ B \ C : \mathbf{Set}\} \rightarrow \\ (B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow (A \rightarrow C) \\ f \circ g = \lambda \ x \rightarrow f (g \ x) \end{array}$$

Now we can prove that map  $(f \circ g) x = (map f \circ map g) x$ .

<sup>&</sup>lt;sup>2</sup>Unicode input for o: \circ

# Application 2: Verifying optimizations
#### **Reminder: working with accumulators**

A slow version of reverse in  $O(n^2)$ :

```
reverse : {A : Set} \rightarrow List A \rightarrow List A
reverse [] = []
reverse (x :: xs) = reverse xs ++ [x]
```

A faster version of **reverse** in *O*(*n*):

reverse-acc : {A : Set}  $\rightarrow$  List A  $\rightarrow$  List A  $\rightarrow$  List A reverse-acc [] ys = ys reverse-acc (x :: xs) ys = reverse-acc xs (x :: ys)

```
reverse' : \{A : Set\} \rightarrow List A \rightarrow List A
reverse' xs = reverse-acc xs []
```

How can we be sure they are equivalent? By proving it!

#### Equivalence of reverse and reverse'

```
reverse'-reverse : \{A : Set\} \rightarrow
 (xs: List A) \rightarrow reverse' xs \equiv reverse xs
reverse'-reverse xs =
 begin
   reverse' xs
                                - def of reverse'
 =()
   reverse-acc xs []
 =( reverse-acc-lemma xs [] ) - (see next slide)
   reverse xs ++ []
 =( append-[] (reverse xs) > - using append-[]
   reverse xs
 end
```

#### Proving the lemma (base case)

```
reverse-acc-lemma : \{A : Set\} \rightarrow (xs \ ys : List \ A)
  \rightarrow reverse-acc xs ys \equiv reverse xs ++ ys
reverse-acc-lemma [] vs =
  begin
    reverse-acc [] vs
  =\langle\rangle - definition of reverse-acc
    VS
  =\langle\rangle - unapplying ++
    [] ++ vs
  =\langle\rangle - unapplying reverse
    reverse [] ++ ys
  end
```

## Proving the lemma (inductive case)

```
reverse-acc-lemma (x :: xs) ys =
 begin
   reverse-acc (x :: xs) ys
                              - def of reverse-acc
 =()
   reverse-acc xs (x :: ys)
 =\langle reverse-acc-lemma xs (x :: ys) \rangle
   reverse xs ++ (x :: ys) - ^ using IH
 =()
                              - unapplying ++
   reverse xs ++ ([ x ] ++ ys)
 =\langle sym (append-assoc (reverse xs) [x] ys) \rangle
   (reverse xs ++ [ x ]) ++ ys - ^ associativity of ++
 =\langle\rangle
                              - unapplying reverse
   reverse (x :: xs) ++ ys
 end
                                                         116 / 125
```

# Application 3: Proving compiler correctness

# Real-world application: The CompCert C compiler

CompCert is an optimizing compiler for C code, which is formally proven to be correct according to the semantics of the C language, using the dependently typed language Coq.



To learn more: https://compcert.org/

#### A simple expression language

data Expr : Set where valE : Nat  $\rightarrow$  Expr addE : Expr  $\rightarrow$  Expr  $\rightarrow$  Expr

- Example expr: (2 + 3) + 4
expr: Expr
expr = addE (addE (valE 2) (valE 3)) (valE 4)

eval : Expr  $\rightarrow$  Nat eval (valE x) = x eval (addE e1 e2) = eval e1 + eval e2

#### **Evaluating expressions using a stack**

```
data Op : Set where

PUSH : Nat \rightarrow Op

ADD : Op
```

Stack = List Nat Code = List Op

Given a list of instructions and an initial stack, we can execute the code:

exec : Code  $\rightarrow$  Stack  $\rightarrow$  Stack exec [] s = s exec (PUSH x :: c) s = exec c (x :: s) exec (ADD :: c) (m :: n :: s) = exec c (n + m :: s) exec (ADD :: c) \_ = [] **Goal.** Compile an expression to a list of stack instructions.

A first attempt.

comp : Expr  $\rightarrow$  Code comp (valE x) = [ PUSH x ] comp (addE e1 e2) = comp e1 ++ comp e2 ++ [ ADD ]

**Problem.** This is very inefficient  $(O(n^2))$  due to the repeated use of \_++\_!

## Compiling with an accumulator

**Problem.** This is very inefficient  $(O(n^2))$  due to the repeated use of \_++\_!

Instead, we can use an accumulator for the already generated code:

 $\begin{array}{ll} \mathsf{comp'}:\mathsf{Expr}\to\mathsf{Code}\to\mathsf{Code}\\ \mathsf{comp'}(\mathsf{valE}\ x) & c=\mathsf{PUSH}\ x::c\\ \mathsf{comp'}(\mathsf{addE}\ e1\ e2)\ c=\\ \mathsf{comp'}\ e1\ (\mathsf{comp'}\ e2\ (\mathsf{ADD}::c)) \end{array}$ 

 $comp : Expr \rightarrow Code$ comp e = comp' e [] We want to prove that executing the compiled code has the same result as evaluating the expression directly:

comp-exec-eval :  $(e: Expr) \rightarrow exec (comp e) [] \equiv [eval e]$ comp-exec-eval e = begin exec (comp e) [] = ( comp'-exec-eval e [] [] ) - (see next slide) exec [] (eval e :: []) =() - applying exec for [] eval e :: [] =() - unapplying [ ] [eval e] end

# Proving correctness of **comp'** (**valE** case)

```
comp'-exec-eval : (e : Expr) (s : Stack) (c : Code)
 \rightarrow exec (comp' e c) s \equiv exec c (eval e :: s)
comp'-exec-eval (valE x) s c =
  begin
    exec (comp' (valE x) c) s
 =\langle\rangle - applying comp'
    exec (PUSH x :: c) s
 =\langle\rangle - applying exec for PUSH
    exec c(x :: s)
  =\langle\rangle - unapplying eval for valE
    exec c (eval (valE x) :: s)
  end
```

# Proving correctness of **comp' (addE** case)

comp'-exec-eval (addE e1 e2) s c = begin exec (comp' (addE e1 e2) c) s  $=\langle\rangle$  - def of comp' exec (comp' e1 (comp' e2 (ADD :: c))) s = $\langle \text{ comp'-exec-eval e1 s } (\text{comp' e2 } (\text{ADD :: } c)) \rangle - \text{IH}$ exec (comp' e2 (ADD :: c)) (eval e1 :: s) =( comp'-exec-eval e2 (eval e1 :: s) (ADD :: c) > - IH exec (ADD :: c) (eval e2 :: eval e1 :: s)  $=\langle\rangle$  - applying exec for ADD exec c (eval e1 + eval e2 :: s) $=\langle\rangle$  - unapplying eval for addE exec c (eval (addE e1 e2) :: s) end